



南華證券投資有限公司

South China Securities Limited ("SCSL")

補充 客戶資料表格 - 個人/聯名 帳戶

Supplementary Client Information Sheet – Individual / Joint Account

披露關連帳戶 (監管機構規定 - 保證金證券帳戶適用)

Disclosure of Related Account(s) (Regulatory Requirements - Margin Securities Account Only)

(i)	客戶之配偶是否南華證券投資之客戶? Is the Client's spouse a client of SCSL?		
	<input type="checkbox"/> 不適用 N/A	<input type="checkbox"/> 否 No	<input type="checkbox"/> 是, 客戶配偶資料如下: Yes, details of Client's spouse are:
	帳戶持有人姓名: Name of Account Holder:		帳戶編號: Account No.:
(ii)	客戶及/或客戶之配偶是否控制南華證券投資任何客戶的 35%或以上的表決權? Does the Client and/or the Client's spouse control 35% or more of the voting rights of any client of SCSL?		
	<input type="checkbox"/> 不適用 N/A	<input type="checkbox"/> 否 No	<input type="checkbox"/> 是, 受控客戶資料如下: Yes, details of controlled client are:
	帳戶持有人姓名: Name of Account Holder:		帳戶編號: Account No.:

I/We represent that the contents and information provided by me/us on this Supplementary Client Information Sheet are true, complete and correct. You may or are entitled to rely entirely upon such contents information and representations for all purposes, unless you receive notice in writing from me of any change thereof. I/We hereby undertake to notify you in writing forthwith upon any material change(s) in the information provided herein.

除非接到更改有關聲明內容的書面通知, 否則閣下有權在任何用途上完全依賴這些資料及聲明。若此資料有任何修改, 本人承諾以書面通知閣下。

Individual / Primary Joint Account Holder's Signature 個人/第一聯名戶口持有人簽署	Secondary Joint Account Holder's Signature 第二聯名戶口持有人簽署
Name of Individual/ Primary Joint Account Holder 個人/第一聯名戶口持有人: _____ ID Card/Passport No. 身份證/護照號碼: _____ Date 日期: _____	Name of Secondary Joint Account Holder 第二聯名戶口持有人: _____ ID Card/Passport No. 身份證/護照號碼: _____ Date 日期: _____