

To: South China Securities Ltd. / Sctrade.com Ltd. 南華證券投資有限公司 / 南華互動金融網有限公司
(Fax No. 傳真號碼: 852-28694304)

From: A/C Name 客戶名稱 : _____ (A/C Number 客戶號碼 : _____)

Dear Sir/ Madam 敬啟者:

Date 日期: _____

**RE: INSTRUCTION FOR SETTLEMENT AND/OR CUSTODIAN SERVICE –
DEPOSIT / WITHDRAWAL OF SECURITIES**

交收及/或託管服務指示 – 證券 存入 / 提取

Please receive / deliver the following securities for my / our account opened with you.

請為本人 / 本人等於貴公司開立的戶口 收納 交出 以下的證券。

Securities Code 證券代號				
Quantity 數量				

Through CCASS Company Registrar/Other: _____

Consideration 款項 (includes interest & handling fee 包括利息及手續費):

free of payment 無須付款 against payment 繳付款項 HK\$ 港元 _____

Value Date 交收日期 : _____

Name of Dealer (Counterparty) 交易商名稱 (對方): _____ I.D. No. 號碼 : _____

Contact Person 對方聯絡人 : _____ Tel. No. 電話號碼 : _____

A/c Name in Counterparty (if different from our A/C Name)

於對方交易商之客戶名稱 (如與我方客戶名稱不同): _____

Relationship 關係: _____ Reason 指示原因: _____

[Remark: Please provide stamped contract notes and/or all relevant documents. 請提供已繳印花稅之成交單及/或所有相關文件。]

Client Declaration: 客戶聲明:

For the reason(s) that I / we need to 由本人 / 本人等 需要 (please mark ✓ in your option 請以 ✓ 註明選擇)

- a) settle the sale transaction of securities with the dealer above. 完成於以上交易商沽售證券的交收程序。
 b) settle the sale transaction of securities with your Company. 完成於 貴公司沽售證券的交收程序。
 c) reconstruct my / our portfolio maintained with the dealer above. 重整於以上交易商存放的投資組合。
 d) reconstruct my / our portfolio maintained with your company. 重整於 貴公司存放的投資組合。
 e) other reasons, please specify 請說明其他原因 : _____

I/We agree and confirm that the company will only provide the service of settlement and/or custodian following the aforesaid instruction. I/We confirm that the aforementioned securities transaction is executed by my/our own investment decision, whereby I/we understand and shall undertake any risk resulting from the transaction. I/We confirm that there is no advice on the securities transaction provided by the company. I/We confirm that the certificate(s) being deposited is true and valid. 本人/吾等同意及確認貴公司只會就上述指示提供交收及/或託管服務。就上述所提及的證券交易, 本人/吾等確認為本人/吾等的獨立投資決定, 並明白及願意承擔當中任何風險。本人/吾等確認貴公司就該等證券交易未有提供任何意見。本人/吾等確認所存入的證券皆為真確及有效。

Yours faithfully,
For & on behalf of client 代行

Approved by 審批

Account Executive's Signature
客戶主任簽署

Client's Signature
客戶簽署

Name 名稱:
Date 日期: